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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/809,991

Confirmation No.: 9621 March 26, 2004 Filing Date:

Inventor(s): Christopher J. DANEK et al.

METHODS OF TREATING REVERSIBLE OBSTRUCTIVE Title: PULMONARY DISEASE

W. Matthews Examiner:

Group Art Unit: 3738

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patent P O Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-captioned application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

Related Applications

Applicant wishes to bring to the Examiner's attention, related applications and patents commonly assigned to the assignee of the present invention:

- U.S. Application No. 10/640,967 filed August 13, 2003
- U.S. Application No. 09/436,455 filed November 8, 1999

This Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. The required fee is submitted herewith.

Attorney Docket No.: ASTXNA00402

Application No.: 10/809,991 IDS filed February 27, 2007

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein

The information contained in this Information Disclosure Statement under 37 C.F.R. §1.97 and §1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to <u>Deposit Account No. 50-3973</u> referencing Attorney Docket No. <u>ASTXNA00402</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Laure L Shires
Registration No. 52,222

Customer No. 40518 Levine Bagade Han LLP 2483 East Bayshore Road, Suite 100 Palo Aito, CA 94303 Direct: (650) 284-24211 Eax: (650) 284-2180

PTQ/SB/08A (09-08)

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Substitute for form 1449/PTO		Complete If Knewn
	Application Number	10/809,991
INFORMATION DISCLOSURE	Filling Date	March 26, 2004
STATEMENT BY APPLICANT	First Named Inventor	Christopher J. DANEK
	Art Unit	3738
(Lise as many sheets as necessary)	Examiner Name	W. Matthews

14 Attorney Docket No: ASTXNA00402

U.S.	PATENT	DOCUMENTS

Examiner	Cite	Document Number	Publication	Name of Patentee or	Pages, Columns, Line Where Relevant
Initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
		US-RE35330	09-17-1996	MALONE; David G. et al.	
		US-1155169	09-28-1915	J.STARKWEATHER	
		US-1207479	12-05-1916	H. BISGAARD	
		US-2072346	03-02-1937	W. R. SMITH	
		US-3568659	03-09-1971	KARNEGIS; James N.	
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		US-3995617	12-07-1976	WATKINS; David H. et al.	
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		US-4502490	03-05-1985	EVANS; John M. et al.	
		US-4522212	06-11-1985	GELINAS; Sandra L. et al.	
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		US-4565200	01-21-1986	COSMAN; Eric R.	
		US-4567882	02-04-1986	HELLER; Richard M.	
		US-4584998	04-29-1986	MCGRAIL; Thomas W.	
		US-4612934	09-23-1986	BORKAN; William N.	
		US-4621642	11-11-1986	CHEN; Zu-fan	
		US-4621882	11-11-1986	KRUMME; John F.	
		US-4625712	12-02-1986	WAMPLER; Richard K.	
		US-4646737	03-03-1987	HUSSEIN; Hany M. G. et al.	

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Sheet 2	of 14	Attorney Docket No: AST	VHA00400	
(Use as many abeats as necessary)		Examiner Name	W. Matthews	
		Art Unit	3738	
STATEMENT BY APPLICANT		First Named Inventor	Christopher J. DANEK	
INFORMATION DISCI		Filing Date	March 26, 2004	
		Application Number	10/809,991	
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U.S. PATENT DOCUMENTS

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Examiner Initials*	Cite No ¹ .		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
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	_	US-4683890	08-04-1987	HEWSON: Carl E.		
		US-4704121	11-03-1987	MOISE; John C.		
		US-4706888	11-17-1987	DON MICHAEL; T. Anthony et al.		
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		US-4976709	12-11-1990	SAND; Bruce J.		

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Substitute for form 1449/PTO			Complete # Known			
Substitut	Substitute for form 1449/PTC		Application Number	10/809.991		
INFO	INFORMATION DISCLOSURE			Filing Date	March 26, 2004	
STAT	STATEMENT BY APPLICANT		First Named Inventor	Christopher J. DANEK		
			Art Unit	3738		
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Sheet	3	of	14	Attorney Docket No: AST	XNA00402	

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		Document Number	Publication		Pages, Columns, Lines
Examiner Initials*	Cite No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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		US-5170803	12-15-1992	HEWSON; Carl E. et al.	
		US-5174288	12-29-1992	BARDY: Gust H. et al.	

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10/809.991 Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3738 Examiner Name W. Matthews

STATEMENT BY APPLICANT (Use as many sheets as necessary) 14 Sheet 4

Attorney Docket No: ASTXNA00402

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U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication	Name of Patentee or	Pages, Columns, Lines Where Relevant
initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
		US-5191883	03-09-1993	LENNOX; Charles D. et al.	
		US-5213576	05-25-1993	ABIUSO; Christopher L. et al.	
		US-5215103	06-01-1993	DESAI; Jawahar M.	
		US-5231996	08-03-1993	BARDY; Gust H. et al.	
		US-5232444	08-03-1993	JUST; Hansjorg et al.	
		US-5234456	08-10-1993	SILVESTRINI; Thomas A.	
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-		US-5313943	05-24-1994	HOUSER; Russell A. et al.	
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		US-5393207	02-28-1995	MAHER; Timothy R. et al.	
		US-6394880	03-07-1995	ATLEE, III; John L.	

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	Application Number	10/809,991	
INFORMATION DISCLOSURE	Filing Date	March 26, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Christopher J. DANEK	
	Art Unit	3738	
(Use as many sheets as recessary)	Examiner Name	W. Matthews	

Attorney Docket No: ASTXNA00402

Document Number Publication Pages, Columns, Lines,						
Examiner Initials*	iner Cite		Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevan Figures Appear	
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		US-5400778	03-28-1995	JONSON; Bjorn et al.		
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		US-5471982	12-05-1995	EDWARDS; Stuart D. et al.	-	
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		US-5500011	03-19-1996	DESAI; Jawahar M.		
		LIS-5505728	04-09-1996	FILMAN: Alan G. et al.		

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Sheet 6 of 14	Attorney Docket No: AST	VNIA00402			
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STATEMENT BY APPLICANT	First Named Inventor Christopher J. DANEK				
INFORMATION DISCLOSURE	Filing Date	March 26, 2004			
	Application Number	10/809,991			
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U.S. PATENT DOCUMENTS

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant
Initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
		US-5507791	04-16-1996	SIT'KO; Sergel P.	
		US-5509419	04-23-1996	EDWARDS; Stuart D. et al.	
		US-6522862	08-04-1996	TESTERMAN; Roy L. et al.	
		US-5531779	07-02-1996	DAHL; Roger W. et al.	
		US-5540681	07-30-1996	STRUL; Bruno et al.	
		US-5545181	08-13-1996	IMRAN; Mir A.	
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		119,5624439	04-29-1997	FDWARDS: Stuart D. et al.	

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10/809.991 Application Number Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3738 W. Matthews Examiner Name

Attorney Docket No: ASTXNA00402 Sheet 7 of 14

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Examiner Cite		Document Number	Publication	Name of Patentee or	Pages, Columns, Lines Where Relevant
Initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
		US-5626618	05-06-1997	WARD; Kevin R. et al.	
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		US-5699799	12-23-1997	XU; Bellel et al.	
		US-5707218	01-13-1998	MAHER; Timothy R. et al.	
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		US-5722401	03-03-1998	PIETROSKI; Susan M. et al.	
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		US-5730128	03-24-1998	POMERANZ; Mark L. et al.	0
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	Examiner Name	w. Matthews
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	Art Unit	3738
STATEMENT BY APPLICANT	First Named Inventor	Christopher J. DANEK
INFORMATION DISCLOSURE	Filing Date	March 26, 2004
	Application Number	10/809,991
Substitute for form 1449/PTO		Complete If Known

ILE DATENT DOCUMENTS

		Document Number	Publication		Pages, Columns, Lines
Examiner Initials*	Cite No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
		US-5752518	05-19-1998	MCGEE; David et al.	
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Application Number 10/809,991
Filing Date March 26, 2004
First Named Inventor Christopher J. DANEK
Art Unit William Wi

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INFORMATION DISCLOSURE

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Sheet 9 of 14 Attorney Docket No: ASTXNA00402

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			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant
initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
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	Complete If Known	
Application Number	10/809,991	
Filing Date	March 26, 2004	
First Named Inventor	Christopher J. DANEK	
Art Unit	3736	
Examiner Name	W. Matthows	
	First Named Inventor Art Unit	Filing Date March 26, 2004 First Named Inventor Christopher J. DANEK Art Unit 3736

U.S. PATENT DOCUMENTS

Examiner Cite		Document Number	Publication	Name of Patentee or	Pages, Columns, Lines Where Relevant	
Initials*	No1.	Number-Kind Code ²	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	
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	Application Number	10/809,991
INFORMATION DISCLOSURE	Filing Date	March 26, 2004
STATEMENT BY APPLICANT	First Named Inventor	Christopher J. DANEK
	Art Unit	3738
(Use as many sheets as necressry)	Examiner Name	W. Matthews
Sheet 11 of 14	Attorney Docket No: AST	XNA00402

	Ī	Document Number	Publication		Pages, Columns, Lines Where Relevant
Examiner Initials*	No ¹ .	Number-Kind Code ²	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Passages or Relevant Figures Appear
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	Application Number	10/809,991	
INFORMATION DISCLOSURE	Filing Date	March 26, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Christopher J. DANEK	
	Art Unit	3738	
(Use as many sheets as necessary)	Examiner Name	W. Matthews	

Attorney Docket No: ASTXNA00402

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			FOREIGN PATE	NT DOCUMENTS		_
Examiner Initials*	Cite No ¹ .	Foreign Patent Documents Country Code ³ Number ⁴ Kind Code ⁵ (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7
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		WO-9844854A1	10-15-1998	BRONCUS TECHNOLOGIES, INC.	4	
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Complete If Known		
Application Number	10/809,991	
Filing Date	March 26, 2004	
First Named Inventor	Christopher J. DANEK	
Art Unit	3738	
Examiner Name	W. Matthews	
	Filing Date First Named Inventor Art Unit	

Attorney Docket No: ASTXNA00402

Examiner Initials*	Cite No ¹ .	Foreign Patent Documents Country Code ³ Number ⁴ Kind Code ⁵ (if Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
		WQ-9856324A1	12-17-1998	ARTHROCARE CORPORATION		Г
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		WO-9944506A1	09-10-1999	SENORX, INC.		
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INFORMATION DISCLOSURE	Filing Date	March 26, 2004	
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	Art Unit	3738	
(Use as many shoets as nechanity)	Examiner Name	W. Matthews	

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		NON PATENT LITERATURE DOCUMENTS	
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		WIGGS B.R. et al., On the Mechanism of Mucosal Folding in Normal and Asthmatic Airways, J. Apol. Physiol., Dec. 1997, 83(6), 1814-1821.	

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considered. Include copy of this form with next communication to applicant. Applicants unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspic.gov.or MPEP 901.04. s Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). See (Fig. 12 colors diffEPTO Possed Sourcement at www.cape.gov.or of 1877 PM 10.4. action 10 Time but based the Science William 10.4. action 10 Time but based to science with the Science William 10.4. action 10 Time but based to science with the Science William 10.4. action 10 Time but based to the Science William 10